



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Frank Scott Bono et al.

Application No.: 10/828,508 - 4285

Filed: April 20, 2004

For: Locking Cap Assembly For Spinal Fixation  
Instrumentation

Attorney Docket No.: 101896-232

Group Art Unit: 3732

Examiner: Not Yet Assigned

Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:


I enclose herewith for filing in the above-identified application the following:

1. Request For Correction of Filing Receipt;
2. A copy of the official filing receipt with changes thereon;
3. Application Data Sheet; and
4. Return receipt postcard.

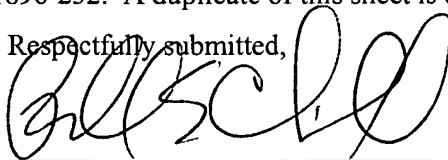
The Commissioner is authorized to charge any overpayments or underpayments if necessary to our Deposit Account No. 141449, Reference No. 101896-232. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 18, 2005

Date  
  
Ronald E. Cahill, Reg. No 38,403

Respectfully submitted,



Ronald E. Cahill, Reg. No. 38,403  
Attorney For Applicants  
NUTTER MCCLENNEN & FISH, LLP  
World Trade Center West  
155 Seaport Boulevard  
Boston, Massachusetts 02210-2604  
Tel. (617) 439-2994  
Fax (617) 310-9994



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Frank Scott Bono et al.

Application No.: 10/828,508 - 4285

Filed: April 20, 2004

For: Locking Cap Assembly For Spinal Fixation  
Instrumentation

Attorney Docket No.: 101896-232

Group Art Unit: 3732

Examiner: Not Yet Assigned

Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

Applicant's attorney received an updated filing receipt dated November 3, 2004 which lists under Power of Attorney several attorneys on behalf of Nutter, McClennen & Fish, LLP. It should state "all practioners of Customer No. 021125". Applicant's attorney submits a copy of the updated filing receipt with the correction thereon and a Application Data Sheet. Applicant's attorney respectfully requests a corrected updated filing receipt for the above-referenced application.

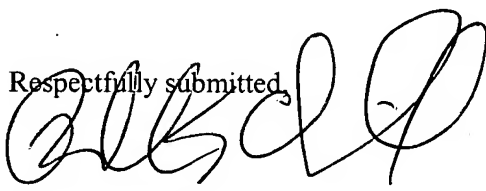
I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

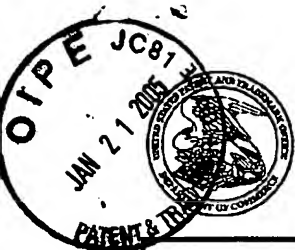
January 18, 2005

Date

Ronald E. Cahill, Reg. No 38,403

Respectfully submitted,

  
Ronald E. Cahill, Reg. No. 38,403  
Attorney For Applicants  
NUTTER MCCLENNEN & FISH, LLP  
World Trade Center West  
155 Seaport Boulevard  
Boston, Massachusetts 02210-2604  
Tel. (617) 439-2994  
Fax (617) 310-9994



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/828,508	04/20/2004	3732	1990	101896-232	13	71	5

CONFIRMATION NO. 4285

021125  
 NUTTER MCCLENNEN & FISH LLP  
 WORLD TRADE CENTER WEST  
 155 SEAPORT BOULEVARD  
 BOSTON, MA 02210-2604

## UPDATED FILING RECEIPT



\*OC000000014271759\*

Date Mailed: 11/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Frank Scott Bono, Rehoboth, MA;  
 George Joseph Ross, Rehoboth, MA;  
 Christopher Werner Sicvol, Boston, MA;  
 Ian Burgess, Barrington, RI;

## Power of Attorney:

Thomas Engellenner-28711  
 Michael Falkoff-30833  
 William Geary III-31359  
 Carl DeFranco Jr-32675  
 John Christopher-37596

Ronald Cahill-38403  
 Michelle Rosenberg-40792  
 Paul Durkee-41003  
 Scott Rothenberger-41277  
 Richard Roos-45053

*all Practitioners of Customer No. 021125*

## Domestic Priority data as claimed by applicant

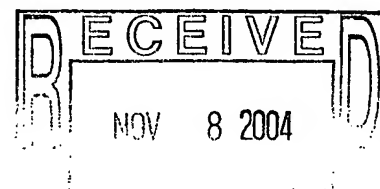
This application is a CON of 09/667,937 09/22/2000 PAT 6,755,829

## Foreign Applications

If Required, Foreign Filing License Granted: 06/29/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/828,508**

**DOCKETED**  
*SUBMIT APPLICATION DATA SHEET  
 TO CORRECT LIST OF ATTORNEYS*



**Projected Publication Date:** 02/10/2005

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Locking cap assembly for spinal fixation instrumentation

**Preliminary Class**

606

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



### Application Data Sheet

#### **Application Information**

Application number:	Not Yet Assigned
Application Type:	Regular
Subject Matter:	Utility
Title:	Locking Cap Assembly For Spinal Fixation Instrumentation
Attorney Docket Number:	101896-232
Small Entity:	No
Secrecy Order in Parent Appl.:	No

#### **Applicant Information**

Applicant Authority Type:	Inventor
Citizenship Country:	USA
Given Name:	Frank Scott
Family Name:	Bono
City of Residence:	Rehoboth
State of Residence:	Massachusetts
Street of mailing address:	24 Woodland Way
City of mailing address:	Rehoboth
State or Province of mailing address:	MA
Country of mailing address:	US
Postal or Zip Code of mailing address:	02769

Applicant Authority Type:	Inventor
Primary Citizenship Country:	USA
Given Name:	George Joseph
Family Name:	Ross
City of Residence:	Rehoboth
State of Residence:	Massachusetts
Street of mailing address:	10 Hickory Ridge Road
City of mailing address:	Rehoboth
State or Province of mailing address:	MA
Country of mailing address:	US
Postal or Zip Code of mailing address:	02769

Applicant Authority Type: Inventor  
Primary Citizenship Country: USA  
Given Name: Christopher Werner  
Family Name: Sicvol  
City of Residence: Boston  
State of Residence: Massachusetts  
Street of mailing address: 664 Massachusetts Avenue #6  
City of mailing address: Boston  
State or Province of mailing address: MA  
Country of mailing address: US  
Postal or Zip Code of mailing address: 02118

Applicant Authority Type: Inventor  
Primary Citizenship Country: United Kingdom  
Given Name: Ian  
Family Name: Burgess  
City of Residence: Barrington  
State of Residence: Rhode Island  
Street of mailing address: 25 Winthrop Drive  
City of mailing address: Rhode Island  
State or Province of mailing address: MA  
Country of mailing address: US  
Postal or Zip Code of mailing address: 02806

**Correspondence Information**

Correspondence Customer Number: 021125  
Phone number: (617) 439-3782  
Fax number: (617) 310-9782  
E-Mail address: rcahill@Nutter.com

**Representative Information**

Representative Customer Number: 021125

1394034.1